

10/019507

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PATENT TRADEMARK OFFICE

Docket No.: 6026/1G081-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joseph S. CACHINA; James R. ZANOLLI

Serial No.: t/b/a

Confirmation No.:

Filed: Concurrently Herewith

For: SOLDER-BEARING WAFER FOR USE IN SOLDERING OPERATIONS

12/21/01 8767720238US

*DB Peck*

*J. B. Berk*

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of a Search Report issued January 24, 2001 for the PCT counterpart of the present patent application (Application No. PCT/US00/26160), in which the presently disclosed references were cited. Since a translation of the Search Report is also enclosed, or the Search Report utilizes conventional codes to characterize each cited reference, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 37 C.F.R. 1.98.

This submission is filed within three months of the filing of the application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be

Serial No. t/b/a

Docket No. 6026/1G081

construed as an admission that such document is necessarily relevant or prior art.

No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,



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Edward J. Ellis  
Registration No. 40,389  
Attorney for Applicant(s)

Dated: December 21, 2001

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10/019507

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 1 OF 1  
(REV. 7-80)LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.: 6026/1G081-US1      SERIAL NO: t/b/a  
 APPLICANT: Joseph S. CACHINA and  
               James R. ZANOLLI      FILING DATE: Concurrently Herewith

U.S. PATENT DOCUMENTS

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
	1. 4,684,055	8/4/87	Baer et al.	228	180.1	9/9/85
	2. 4,807,799	2/28/89	Myong	228	212	6/1/88
	3. 5,986,338	11/16/99	Nakajima	257	700	8/2/96
	4. 6,112,001	8/29/00	Kishida et al.	385	49	7/29/98
	5. 6,119,920	9/19/00	Guthrie et al.	228	56.3	12/20/96

FOREIGN PATENT DOCUMENTS

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION YES</u>	<u>NO</u>

OTHER REFERENCES  
(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

\*EXAMINER INITIALS

EXAMINER: \_\_\_\_\_

DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.